

Quarterly Practice Summary

As of 12/31/2013

Account Information		Assets Under Management			Quarter		4th
		Total AUM	AUM per Client Account				
Number of all Accounts	142	\$35,932,619	\$876,405				
Number of all Clients	41	ADV AUM Classification			Client Relationships		
Number of New Accounts	12	ADV Classification	# of Accounts	AUM Totals	Tier breakdown	Total AUM	Fee Attribution (bp)
Number of Closed Accounts	3	Individuals	91	\$ 17,415,261	0 - 100K	1 \$ 40,933	135.00
Date Reports Mailed		HNW Indv.	46	\$ 17,273,305	100K - 500K	10 \$ 3,067,075	149.62
		Pension&PSP	4	\$ 1,221,868	500K - 1M	17 \$ 12,798,659	118.52
		Pooled Inv. Veh.			1M - 5M	11 \$ 20,025,953	103.76
		Charitable Org.	1	\$ 22,185	5M +	- \$ -	-
		Corp.&Business					

Quarterly Billing Summary

Billing date: 1/2/2014

Billing	Assets Under Management	Client Information
Total billing for quarter \$ 101,480.09	Billable AUM at start of the quarter \$ 33,639,711	Number of Clients at start of quarter 37
Net Revenue for Advisor Firm \$ 74,591.79	Additions to AUM from new clients \$ 1,586,409	Number of New Clients 4
Fees received by DKE \$ 26,888.30	Reduction in AUM from terminating clients \$ -	Number of Terminating Clients 0
Average Annualized Fees (in Basis Points) 112.97	Net Additions to AUM from existing clients \$ (191,360)	Number of Clients at the end of quarter 41
	Change in AUM from market activity \$ 897,860	Average AUM per Client \$ 876,405
	AUM at end of quarter \$ 35,932,619	Average Billing per Client \$ 2,475
		Average Annualized Billing per Client \$ 9,900

Special Projects Update

Prospects Section

Prospect Name	Status	Total Investable AUM	IPS Proposal Date
---------------	--------	----------------------	-------------------